

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 065525.0121

SERIAL NO. 08/935,116

APPLICANT David W. Deaton, et al.

FILING DATE 09/22/97

GROUP 2781

U.S. PATENT DOCUMENTS

*EXAMINER																	FILING DATE
	AA																
	AB																
	AC	2	9	9	5	7	2	7	8/8/61	Quade		340	149				
	AD	3	3	1	6	5	3	6	4/25/67	Andrews, et al.		340	146.3				
	AE	3	4	6	5	2	8	9	9/2/69	Klein		340	149				
	AF	3	5	2	8	0	5	8	9/8/70	Bond		340	146.3				
	AG	3	5	3	5	6	8	2	10/20/70	Dykaar, et al.		340	146.3				
	AH	3	5	7	6	5	3	9	4/27/71	Huber		340	152				
	AI	3	6	0	5	0	9	2	9/14/71	Richard		340	146.3				
	AJ	3	6	2	9	8	2	9	12/21/71	Ordower		340	146.3				
	AK	3	7	8	6	4	2	1	1/15/74	Wostl, et al.		340	149				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	5	9	1	8	4	9	6	5(A)	Japan			✓	
	AM	5	8	1	7	8	4	7	5(A)	Japan			✓	
	AN	5	8	1	5	5	4	7	5(A)	Japan			✓	
	AO	5	9	9	4	1	6	6	(A)	Japan			✓	
	AP	5	5	4	7	5	6	0	(A)	Japan			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR		"Front End Electronic Marketing Frequent Shopper & Other Programs" Food Marketing Institute, 1991, Carlene A. Thissen.
AS		"Perspectives on Electronic Marketing with Emphasis on Promotion Inside Supermarkets", Food Marketing Institute, 1990, Carlene A. Thissen.
AT		"What's New in Supermarket Promotion", New York Times, June 12, 1989, Lynette D. Hazelton.

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AA	3 8 3 3 8 8 5	9/3/74	Gentile, et al.	340	152	
AB	3 8 7 6 9 8 1	4/8/75	Welch	340	146.3	
AC	3 9 1 4 7 8 9	10/21/75	Coker, Jr., et al.	360	2	
AD	3 9 4 1 9 7 7	3/2/76	Voss, et al.	235	61.7	
AE	3 9 8 7 4 1 1	10/19/76	Krukltis, et al.	340	146.3	
AF	4 0 1 5 7 0 1	4/5/77	Templeton	197	127	
AG	4 0 2 7 1 4 2	5/31/77	Paup, et al.	235	61.9	
AH	4 0 5 3 7 3 5	10/11/77	Fondos	235	61.9	
AI	4 0 6 3 0 7 0	12/13/77	Delarue, et al.	235	474	
AJ	4 0 8 7 7 8 9	5/2/78	Beery	340	146.3	
AK	4 0 8 8 8 7 9	5/9/78	Banka, et al.	235	449	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	5 6 2 7 4 6 8	(A)	Japan			✓	
AM	5 2 1 6 9 4 1		Japan			✓	
AN							
AO							
AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	"In this Computer Age, Who Needs Coupons", New York Times, June 15, 1989, Michael Freitag.
AS	"A New Dimension in Marketing", Progressive Grocer, Vol. 66, No. 5, May 1987, pp 133-134, 136, Ronald Tanner.
AT	Lena H. Sun, "Checking Out The Customer," The Washington Post, Sunday, July 9, 1989.

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AA	4 1 0 7 6 5 3	8/15/78	Krukltis	340	146.3	
AB	4 1 2 7 7 7 0	11/28/78	Baader	235	474	
AC	4 1 4 3 3 5 6	3/6/79	Nally	340	146.3	
AD	4 1 4 8 0 1 0	4/3/79	Shiau	340	146.3	
AE	4 1 7 6 7 8 3	12/4/79	Eppich	235	474	
AF	4 2 0 8 5 7 5	6/17/80	Haltof	235	380	
AG	4 2 4 5 2 1 1	1/13/81	Kao	340	146.3	
AH	4 2 6 0 8 8 0	4/7/81	Thomas	235	454	
AI	4 2 7 7 6 8 9	7/7/81	Thomas, et al.	250	567	
AJ	4 3 5 6 4 7 2	10/26/82	Ku, et al.	340	146.3	
AK	4 3 8 0 7 3 4	4/19/83	Allerton	324	225	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
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AN							
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	"UKROP's Test Data Base Marketing Program Electronic Couponing Tracks Buying Behavior of Valued Customers", Chain Store Age Executive, September, 1987.
AS	"Grocery Stores Copy Airlines With Frequent Flyer Bonuses", Wall Street Journal 3 Star, Eastern, August 7, 1986.
AT	

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AA	4 3 8 1 4 9 4	4/26/83	Wisner	382	64	
AB	4 3 9 9 5 5 3	4/16/83	Toyama	382	7	
AC	4 4 0 4 6 4 9	9/13/83	Nunley, et al.	364	900	
AD	4 4 3 9 6 7 0	3/27/84	Basset, et al.	235	382	
AE	4 4 4 1 2 0 4	4/3/84	Hanna	382	7	
AF	4 4 5 3 0 7 4	6/5/84	Weinstein	235	380	
AG	4 5 1 0 6 1 5	4/9/85	Rohrer	382	7	
AH	4 5 2 3 3 3 0	6/11/85	Cain	382	7	
AI	4 5 4 7 8 9 9	10/15/85	Nally, et al.	382	7	
AJ	4 6 1 7 4 5 7	10/14/86	Granzow, et al.	235	379	
AK	4 6 2 8 1 9 4	12/9/86	Dobbins, et al.	235	379	

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						YES	NO
AL							
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	"S & H, Saffer Reward Frequent Shoppers", Advertising Age, March 16, 1987, p.22.
AS	"Frequent Buyer Programs Get Off the Ground", Dairy Foods, November 1989, p. 64
AT	"Giant to Test Supermarket Cash Rebates", Washington Post, June 14, 1989, pp. A1, A32.

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	AA	4	6	7	8	8	9	5	7/7/87	Tateisi, et al.	235	379	
	AB	4	7	2	3	2	1	2	2/2/88	Mindrum, et al.	364	401	
	AC	4	7	7	6	0	2	1	10/4/88	Ho	382	7	
	AD	4	8	1	0	8	6	6	3/7/89	Lord, Jr.	235	379	
	AE	4	9	1	0	6	7	2	3/20/90	Off, et al.	364	405	
	AF	4	0	5	3	7	3	7	10/11/77	Lafevers, et al.	235	61.11	
	AG	4	1	4	3	3	5	5	3/6/79	MacIntyre	340	146.3	
	AH	4	3	9	6	9	0	2	8/2/83	Warthan, et al.	382	64	
	AI	4	5	4	7	7	8	0	10/15/85	Cummins	346	9	
	AJ	4	7	4	8	6	7	3	5/31/88	Barre, et al.	382	7	
	AK	4	7	9	7	9	3	8	1/10/89	Will	382	7	

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	AR		"Quaker's Direct Hit", Direct Marketing, Volume 53, No. 9, page 52, January 1991.
	AS		
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AA	4 8 0 9 3 5 1	2/28/89	Abramovitz, et al.	382	59	
AB	4 8 8 2 6 7 5	11/21/89	Nichtberger, et al.	364	401	
AC	4 8 9 7 8 8 0	1/30/90	Wilber, et al.	382	13	
AD	4 9 4 9 2 5 6	8/14/90	Humble	364	401	
AE	4 9 7 5 8 4 1	12/4/90	Kehnemyi, et al.	364	401	
AF	5 0 1 0 4 8 5	4/23/91	Bigari	364	408	
AG	5 0 1 4 3 2 4	5/7/91	Mazumder	382	7	
AH	5 0 5 3 6 0 7	10/1/91	Carlson, et al.	235	379	
AI	5 0 5 3 9 5 5	10/1/91	Peach, et al.	364	401	
AJ	5 0 5 4 0 9 2	10/1/91	LaCaze	382	11	
AK						

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	AA	5	0	7	0	4	5	2	12/3/91	Doyle, Jr., et al.	364	401	
	AB	5	0	9	1	6	3	4	2/25/92	Finch, et al.	235	375	
	AC	4	5	5	4	4	4	6	11/19/85	Murphy, et al.	235	487	
	AD	4	6	7	0	8	5	3	6/2/87	Stepien	364	70	
	AE	4	6	7	4	0	4	1	6/16/87	Lemon, et al.	364	401	
	AF	4	7	0	3	4	2	3	10/27/87	Bado, et al.	364	400	
	AG	4	7	2	2	0	5	4	1/26/88	Yorozu, et al.	364	401	
	AH	4	7	5	0	1	1	9	6/7/88	Cohen, et al.	364	401	
	AI	4	7	9	1	2	8	1	12/13/88	Johnsen, et al.	235	383	
	AJ	4	8	8	5	6	8	5	12/5/89	Wolfberg, et al.	364	401	
	AK	4	9	0	8	7	6	1	3/13/90	Tai	364	401	

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	AA	4	9	4	1	0	9	0		7/10/90	McCarthy	364	405	
	AB	4	8	8	7	2	0	7		12/12/89	Natarajan	364	401	
	AC	5	0	0	8	5	1	8		04/16/91	Taussig et al.	235	383	
	AD	5	0	9	5	1	9	5		03/10/92	Harman et al.	235	381	
	AE	5	1	2	8	5	2	0		07/07/92	Rando et al.	235	375	
	AF	5	1	7	3	8	5	1		12/22/92	Off et al.	364	401	
	AG	5	1	8	5	6	9	5		02/09/93	Pruchnicki	364	401	
	AH	5	2	4	9	0	4	4		09/28/93	Von Kohorn	358	86	
	AI	5	2	4	5	5	3	3		09/14/93	Marshall	364	401	
	AJ	5	2	5	3	3	4	5		10/12/93	Fernandes et al.	395	275	
	AK	5	2	5	1	1	5	2		10/05/93	Notess	364	550	

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	AA	5	2	4	5	1	6	4		09/14/93	Oyama	235	379	
	AB													
	AC	4	8	2	5	0	4	5		04/25/89	Humble	235	383	
	AD	4	8	3	3	3	0	8		05/23/89	Humble	235	383	
	AE													
	AF													
	AG													
	AH	5	2	3	7	4	9	6		08/17/93	Kagami, et al.	364	401	
	AI	5	0	2	5	3	7	2		06/18/91	Burton, et al.	364	406	
	AJ	5	1	1	7	3	5	5		05/26/92	McCarthy	364	405	
	AK	5	2	0	2	8	2	6		04/13/93	McCarthy	364	405	

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	AA	3	9	4	9	3	6	3	04/06/76	Holm	340	146.3D	
	AB	4	2	0	1	9	7	8	05/06/80	Nally	340	146.3C	
	AC	4	7	9	9	1	5	6	01/17/89	Savit et al.	364	401	
	AD	4	9	3	3	5	3	6	06/12/90	Lindemann, et al.	235	375	
	AE	4	9	8	2	3	4	6	01/01/91	Girouard, et al.	364	550	
	AF	5	0	4	0	2	2	6	08/13/91	Elischer, et al.	382	7	
	AG	5	0	7	7	8	0	5	12/31/91	Tan	382	7	
	AH	5	2	0	1	0	1	0	04/06/83	Deaton, et al.	382	7	
	AI												
	AJ												
	AK												

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	AB	4	8	2	1	1	8	6	04/01/89	Munakata, et al.	364	405	
	AC	3	9	5	9	6	2	4	05/25/76	Kaslow	235	61.11E	
	AD	4	1	2	4	1	0	9	11/07/78	Bissell, et al.	194	4R	
	AE	4	3	3	2	3	2	5	06/01/82	Manizza	206	216	
	AF	4	6	7	6	3	4	3	06/30/87	Humble, et al.	186	61	
	AG	5	3	1	0	9	9	7	05/10/94	Roach, et al.	235	375	
	AH	5	1	7	9	3	7	5	01/23/93	Dick, et al.	340	825.51	
	AI	4	9	7	2	5	0	4	11/20/90	Daniel, Jr., et al.	455	2	
	AJ												
	AK												

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**Information Disclosure Citation
in an Application**

Application No.

08/935,116

Applicant(s)

David W. Deaton, et al.

Docket Number

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Group Art Unit

2781

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,237,620	08/17/93	Deaton, et al			
	B	5,305,196	04/19/94	Deaton, et al.			
	C	5,327,508	07/05/94	Deaton, et al.			
	D	5,388,165	02/07/95	Deaton, et al.			
	E	5,353,218	10/04/94	De Lapa, et al.			
	F	5,430,644	07/04/95	Deaton, et al.			
	G	5,448,471	09/05/95	Deaton, et al.			
	H	5,592,560	01/07/97	Deaton, et al.			
	I	5,621,812	04/15/97	Deaton, et al.			
	J	5,638,457	06/10/97	Deaton, et al.			
	K	5,642,485	06/24/97	Deaton, et al.			
	L	5,644,723	07/01/97	Deaton, et al.			
	M	5,649,114	07/15/97	Deaton, et al.			

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N	EP0511463A	11/04/92	EPC				
	O	EP0512509A	11/11/92	EPC				
	P							
	Q							
	R							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	S	"Catalina Marketing Unveils New Supermarket Continuity Programs" and "Checkout Direct", <i>Point of Scan - The Newsletter of Electronic Marketing</i> , pp. 1-2.	01/1991
	T	"Frequency Programs: Cashing in on Promotions," by Catalina Marketing Corporation, presented at The Marketing Institute, pp. 1-38	09/11/90
	U	"Der Glaeserne Verbraucher," by E. Schmidt, <i>Elektronik</i> , Vol. 40, No. 23, pg. 42-45 (with English Translation)	11/12/91

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449

Information Disclosure Citation in an Application

Application No.

08/935,116

Applicant(s)

David W. Deaton, et al.

Docket Number

065525.0121

Group Art Unit

2781

Filing Date

09/22/97

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	V	5,659,469	08/19/97	Deaton, et al.			
	W						
	X						
	Y						
	Z						
	AA						
	BB						
	CC						
	DD						
	EE						
	FF						
	GG						
	HH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	II							
	JJ							
	KK							
	LL							
	MM							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	NN	"Electronic Coupons", by David Goldsmith, Target Marketing, North American Publishing Co.	07/87
	OO	"Catalina Marketing Corp. Outmaneuvers Citicorp POS", News Release, PR Newswire.	06/16/89
	PP	"In-Store Computer Terminals, A Super Marketing Device", by Carole Sugarman, The Washington Post.	05/28/86

EXAMINER

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